FORM D

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES

PURSUANT TO REGULATION D,

SECTION 4(6), AND/OR

UNIFORM LIMITED OFFERING EXEMPTION

	OMB APP	PROVAL
ŒN	OMB Number:	April 30, 2008
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Name of Offering Offering of Limited	(☐ check if this is an a		•	ndicate change.)		
Filing Under (Check	box(es) that apply):	☐ Rule 504	☐ Rule 505	□ Rule 506	☐ Section 4(6)	ULOE
Type of Filing:	☐ New Filing					
		A. BASI	CIDENTIFICAT	ION DATA	( 1111) 1111	ECIN 1880 160 A COM INTO 201 1881
Enter the infor	mation requested about th	e issuer				
Name of Issuer	check if this is an ar	nendment and name h	nas changed, and ir	dicate change.		11 THE LEVEL OF THE CONTROL OF THE C
Old Field Fund, LL	.c				07	078322
Address of Executiv	e Offices		(Number and Stre	et, City, State, Zip Co	ode) Telephone Nu	mber (Including Area Code)
c/o Marwood Alter	native Asset Manageme	nt LLC, 733 Third Ave	nue, 11 <sup>th</sup> Floor, No	ew York , NY 10017		(212) 532.3651
Address of Principa	l Offices		(Number and Stre	et, City, State, Zip Co	ode) Telephone Nu	ımber (Including Area Code)
(if different from Exe	ecutive Offices)					
Brief Description of	Business: private in	vestment company				
Type of Business O	rganization					
	corporation	☐ limited p	oartnership, already	formed	other (please sp	ecify)
	☐ business trust	☐ limited p	partnership, to be fo	rmed Lir	nited Liability Compar	ıy
			Month	Yea	<u>r</u>	
Actual or Estimated	Date of Incorporation or C	rganization:	0 7	0	6 ⊠ Act	ual Estimated
Jurisdiction of Incom	poration or Organization:	(Enter two-letter U.S. F	Postal Service Abbr	eviation for State;		
		CI	N for Canada; FN fo	or other foreign jurisd	iction) D	E

## GENERAL INSTRUCTIONS

### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

#### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

# ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to fire the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

		A. BASIC ID	ENTIFICATION DATA	A	
Each beneficial own     Each executive office	e issuer, if the iss er having the pov er and director of	uer has been organized witl	ect the vote or disposition o		a class of equity securities of the issuer; tnership issuers; and
Check Box(es) that Apply:	☑ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Marwood Alternativ	e Asset Management, LLC		
Business or Residence Addr	ess (Number and	Street, City, State, Zip Cod	e): 733 Third Avenue, 11	h Floor, New Yorl	k , NY 10017
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☑ Manager
Full Name (Last name first, i	f individual):	Moore, John T.			
Business or Residence Addr Floor, New York , NY 1001	ess (Number and	Street, City, State, Zip Cod	e): c/o Marwood Alternati	ve Asset Manage	ement LLC, 733 Third Avenue, 11 <sup>th</sup>
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	Manager     Manager
Full Name (Last name first, i	f individual):	Davis, Lisa			
Business or Residence Addr Floor, New York , NY 1001		Street, City, State, Zip Cod	e): c/o Marwood Alter	native Asset Mar	nagement LLC, 733 Third Avenue, 11th
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☑ Manager
Full Name (Last name first, i	f individual):	Yudewtiz, Brian			-
Business or Residence Addr Floor, New York , NY 1001		Street, City, State, Zip Cod	e): c/o Marwood Alter	native Asset Mar	nagement LLC, 733 Third Avenue, 11 <sup>th</sup>
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner		☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Modzelewski, T. J.			
Business or Residence Addr Floor, New York , NY 10017		Street, City, State, Zip Cod	e): c/o Marwood Alter	native Asset Mar	nagement LLC, 733 Third Avenue, 11th
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner		☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Wasserman, Michael			
Business or Residence Addr Floor, New York , NY 1001		Street, City, State, Zip Cod	e): c/o Marwood Alter	native Asset Mar	nagement LLC, 733 Third Avenue, 11 <sup>th</sup>
Check Box(es) that Apply:	☐ Promoter	⊠ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	NYC District Council	of Carpenters		
Business or Residence Addr Floor, New York , NY 10017		Street, City, State, Zip Cod	e): c/o Marwood Alter	native Asset Mar	nagement LLC, 733 Third Avenue, 11 <sup>th</sup>
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	Director	☐ General and/or Managing Partner
Full Name (Last name first, it	f individual):				
Business or Residence Addr	ess (Number and	Street, City, State, Zip Cod	e):		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	Director	☐ General and/or Managing Partner

) B. INFORMATION ABOUT OFFERING	
Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?      □ Yes ☑ No Answer also in Appendix, Column 2, if filling under ULOE.	
2. What is the minimum investment that will be accepted from any individual?	
3. Does the offering permit joint ownership of a single unit?	)
4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.	
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Name of Associated Broker or Dealer	
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)	☐ All States
[AL]   [AK]   [AZ]   [AR]   [CA]   [CO]   [CT]   [DE]   [DC]   [FL]   [GA]   [HI]   [ID]	LI All States
☐ [IL] ☐ [IN] ☐ [IA] ☐ [KS] ☐ [KY] ☐ [LA] ☐ [ME] ☐ [MD] ☐ [MA] ☐ [MI] ☐ [MN] ☐ [MS] ☐ [MO]	
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Name of Associated Broker or Dealer	
· · · · · · · · · · · · · · · · · · ·	☐ All States
[IL]   [IN]   [IA]   [KS]   [KY]   [LA]   [ME]   [MD]   [MA]   [MI]   [MN]   [MS]   [MO]	
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Name of Associated Broker or Dealer	
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)	All States
☐ [AL] ☐ [AK] ☐ [AZ] ☐ [AR] ☐ [CA] ☐ [CO] ☐ [CT] ☐ [DE] ☐ [DC] ☐ [FL] ☐ [GA] ☐ [HI] ☐ [ID]	<u> </u>
$\square$ [IL] $\square$ [IN] $\square$ [IA] $\square$ [KS] $\square$ [KY] $\square$ [LA] $\square$ [ME] $\square$ [MD] $\square$ [MA] $\square$ [MI] $\square$ [MN] $\square$ [MS] $\square$ [MO]	
☐ [MT] ☐ [NE] ☐ [NV] ☐ [NH] ☐ [NJ] ☐ [NM] ☐ [NY] ☐ [NC] ☐ [ND] ☐ [OH] ☐ [OK] ☐ [OR] ☐ [PA]	

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

4	b. Enter the difference between the aggregate off Question 1 and total expenses furnished in respons	e to Part C-Question 4.a. This differ	ence is the	8	<u>\$</u>	99,920,989
5	"adjusted gross proceeds to the issuer." Indicate below the amount of the adjusted gross proused for each of the purposes shown. If the amoun estimate and check the box to the left of the estimate adjusted gross proceeds to the issuer set forth it	oceeds to the issuer used or proposed t for any purpose is not known, furnis te. The total of the payments listed π	d to be h an lust equal	Payments to Officers, Directors & Affiliates		Payments to Others
	Salaries and fees			\$	0	\$
	Purchase of real estate			\$	_ □	\$
	Purchase, rental or leasing and installation of	of machinery and equipment		\$	□	\$
	Construction or leasing of plant buildings an	d facilities		\$	_ 🗆	\$
	Acquisition of other businesses (including the offering that may be used in exchange for the pursuant to a merger	e assets or securities of another issu		\$ \$ \$ \$		\$ \$ \$99,920,98 \$
	Column Totals			s	_ 🗵	\$99,920,98
	Total payments Listed (column totals added			⊠	\$ 99,920	
X	nis issuer has duly caused this notice to be signed by institutes an undertaking by the issuer to furnish to the the issuer to any non-accredited investor pursuant to	e U.S. Secufities and E‡change Com	on. If this	s notice is filed under R upon written request of	ule 505, the	e following signature e information furnishe
_	suer (Print or Type)	Rigriature /			Date	
)	d Field Fund, LDC	XXX			Septe	ember 19,200
	ame of Signer (Print or Type) hnn T. Moore	Title of Styner (Print or Type) Manager of Marwood Altern	ative Ass	et Management, LLC,	Member o	of Old Field Fund,

# **ATTENTION**

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

1.		presently subject to any of the disqualification	🗋 Yes 🖾 No				
	s	ee Appendix, Column 5, for state response.					
2.	The undersigned issuer hereby undertakes (17 CFR 239.500) at such times as require	to furnish to any state administrator of any state in which tld by state law.	his notice is filed a notice on Form D				
3.	The undersigned issuer hereby undertakes	to furnish to the state administrators, upon written request,	information furnished by the issuer to offerees.				
4.		issuer is familiar with the conditions that must be satisfied s notice is filed and understands that the issuer claiming the seen satisfied.					
	er has read this notification and knows the ced person.	ontents to be true and has duly caused this notice to be sig	ened on its behalf by the undersigned duly				
Issuer (f	Print or Type)	Signature	Date				
Old Fiel	d Fund, LDC		September 19,2007				
Name o	f Signer (Print or Type)	Title of Styner (Print or Type)					
John T.	Moore	Manager of Marwood Alternative Asset Manag	Manager of Marwood Alternative Asset Management, LLC, Member of Old Field				

#### Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

<i>3</i> 3	C. OFFERING PRICE NUMBER OF INVEST	ORS; EXP	ENSES, A	ND USE OF	PROCEED	Signal and
4	b. Enter the difference between the aggregate offering price given in resp Question 1 and total expenses furnished in response to Part C-Question 4. "adjusted gross proceeds to the issuer."	a. This differe	ence is the		<u> </u>	99,920,989
5	Indicate below the amount of the adjusted gross proceeds to the issuer use used for each of the purposes shown. If the amount for any purpose is not estimate and check the box to the left of the estimate. The total of the payrithe adjusted gross proceeds to the issuer set forth in response to Part C = 0	known, furnis! nents listed m	h an ust equal	Payment Officer Director Affiliate	s, s &	Payments to Others
	Salaries and fees			\$	□	\$
	Purchase of real estate	***************************************		\$		\$
	Purchase, rental or leasing and installation of machinery and equipment	nent		\$	□	\$
	Construction or leasing of plant buildings and facilities			\$		\$
	Acquisition of other businesses (including the value of securities invofering that may be used in exchange for the assets or securities of pursuant to a merger	another issue	er 🗆	\$		\$
	Repayment of indebtedness			\$		\$
	Working capital			\$		\$99,920,989
	Other (specify):			\$		\$
				\$		\$
	Column Totals			\$	= 	\$99,920,989
	Total payments Listed (column totals added)			<u></u> ⊠		0,989
***					• • • •	
W	D. GEDERAL			, s		2. 3.11. 1
co	is issuer has duly caused this notice to be signed by the undersigned duly a nstitutes an undertaking by the issuer to furnish to the U.S. Securities and E the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Ru	change Com	on. If this n	otice is filed und on written reque	der Rule 505, the st of its staff, the	e following signature e information furnished
lss	suer (Print or Type) Signature		1.		Date	
_	d Field Fund, LDC	<u> </u>	<u> </u>	$\sim$	Sept	<u>ember 19,2007</u>
	time of Signer (Print or Type)  Title of Signer (Print or Type)		ntivo Aprot	Monogoment	IIC Mombos	of Old Floid Fund
Jo	hn T. Moore /Manager of Mar	wood Alterna	LIVE ASSET	management,	LLC, member (	of Old Field Fund,

## **ATTENTION**

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

1.		resently subject to any of the disqualification	☐ Yes ⊠ No
	Se	e Appendix, Column 5, for state response.	
2.	The undersigned issuer hereby undertakes (17 CFR 239.500) at such times as required	to furnish to any state administrator of any state in which this by state law.	notice is filed a notice on Form D
<b>3</b> .	The undersigned issuer hereby undertakes	to furnish to the state administrators, upon written request, in	nformation furnished by the issuer to offerees.
4.		issuer is familiar with the conditions that must be satisfied to notice is filed and understands that the issuer claiming the agen satisfied.	
	suer has read this notification and knows the co ized person.	ntents to be true and has puly caused this notice to be signe	ed on its behalf by the undersigned duly
	(Print or Type) eld Fund, LDC	Signature	Date September 19,2007
	of Signer (Print or Type)  I. Moore	Title of Signer (Print or Type)  Manager of Marwood Alternative Asset Manager  Fund, LDC	ment, LLC, Member of Old Field

### Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

				APF	PENDIX						
1	2	2 3 4									
	Intend to non-ac investors (Part B -	credited in State	Type of security and aggregate offering price offered in state (Part C – Item 1)		Type of investor and amount purchased in State (Part C – Item 2)						
State	Yes	No	Limited Liability Company Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No		
AL											
AK											
AZ											
AR											
CA											
со											
СТ											
DE											
DC											
FL											
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KY					<del> </del>		· · · · · · · · · · · · · · · · · ·				
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MD					·· · · · · · · · · · · · · · · · · · ·						
MA		<del> </del>									
MI								<u> </u>			
MN		-							<u> </u>		
MS									<u> </u>		
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MT									<u> </u>		
NE								ļ	<u> </u>		
NV									<u> </u>		
NH									<u> </u>		
NJ									<u> </u>		
NM						<u> </u>			<u>L</u>		

	ſ			APF	PENDIX	· · · · · · · · · · · · · · · · · · ·	···	. <u></u>	<del> </del>	
1	2 3 4							5	5	
	to non-ad	to sell ccredited s in State - Item 1)	Type of security and aggregate offering price offered in state (Part C – Item 1)		Type of investor and Amount purchased in State (Part C – Item 2)					
State	Yes	No	Limited Liability Company Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No	
NY		Х	\$100,000,000	3	\$39,510,000	0	\$0		х	
NC										
ND										
ОН										
ок										
OR										
PA										
RI										
sc										
SD			_							
TN										
TX										
UT					·					
VT										
VA				······································	·					
WA										
wv										
WI									ļ	
WY										
Non UŞ		×	100,000,000	1	2,500,000	0	\$0		x	

